

Junior ISA Application Form

(Stocks & Shares) 2017/2018 Tax Year



Please complete this application form in **BLOCK CAPITALS** and return to: FNZ TA Services, Level 5, 67 Lombard Street, London, EC3V 9LJ

1 Child Details (please complete this section in full)

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

National Insurance Number: ___ / ___ / ___ / ___ / ___

Permanent Residential Address:

Postcode:

If your child **does not** have a NI Number, please tick here

Male Female Date of Birth: DD / MM / YYYY

Please detail any residency or citizenship held in any other country than the UK:

2 Applicant Details - Registered Contact* (please complete this section in full)

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

Email:

Permanent Residential Address:

Postcode:

Male Female Date of Birth: DD / MM / YYYY

*The Registered Contact is a person with parental responsibility, or the child aged 16-18, if they so wish.

3 Third Party Details (please complete this section if you're not the child or Registered Contact but are funding the Junior ISA)

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

Email:

Permanent Residential Address:

Postcode:

Male Female Date of Birth: DD / MM / YYYY

Relationship to applicant:

4 Investment Selection

You may invest in one or more fund(s). The minimum subscription for each fund is detailed in the relevant Key Investor Information Document (KIID). The total investment for the 2017/18 tax year must not exceed **£4,128** or **£344 per month**.

Fund Name	Lump Sum (£)	Regular Savings (£)
1)		
2)		
3)		
Total:	£	£

Income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at no initial charge (where only income units/shares are available).

5 Nominated Bank Account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to:

Name of Account Holder:

Account Number:

Sort Code:

6 Client Identification

In order to comply with Anti-Money Laundering Regulations, we are required to verify the identity and address of the applicant(s). Please could you send us one document from **each** of the below categories for **all** registered holders from Section 1, 2 and 3.

Category A - Identity Document
Current Signed Passport or Certified Copy
Driving Licence* or Certified Coy
Birth Certificate

Category B - Address Document
Utility Bill
Bank/Building Society/Financial Services Statement
Government Issued Document
Driving Licence* or Certified Copy

* A driving licence cannot be used for both categories

NB - The address on all documents must match your listed address on this form

For more information regarding Client Identification, please refer to our Anti Money Laundering booklet that can be found in the Literature section of our website.

Whilst we can accept original documentation, we do not advise customers to send in original copies unless it is sent through registered post, we will then return in the same manner. We are unable to accept liability for any loss or damage upon return. Alternatively a copy of the documents can be sent as long as it is signed by a certifier. The certifier must write the date, full name, address and contact telephone number.

7 Funding Your Investment (tick all that apply)

Bank Transfer Amount: £

All Bank Transfers must be paid directly to the account details below, referenced with the name of the client.

Account Number: 10196669
Sort Code: 12-01-03

IBAN: GB79BOFS12010310196669
BIC: BOFSGB21254

Cheque(s) Amount: £

Cheques must either be drawn on your account or a joint account. The cheque must be made payable to **Slater Investments Ltd.** For a building Society cheque or banker's draft, your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature.

Direct Debit Amount: £

In order to pay via Direct Debit, please ensure you have completed **Section 10** overleaf.

8 Declaration

- I declare that I am 18 years of age or over. The child is a resident in the United Kingdom (UK), or is a UK Crown servant, a dependent of a UK Crown servant or is married to or in a civil partnership with a UK Crown servant.
- I confirm that the child is not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s).
- I am the child / I have parental responsibility for that child.
- I will be the registered contact for the Junior ISA
- The child named above is the beneficial owner of the account investments.
- I apply to subscribe for a Junior Stocks & Shares ISA for the tax year and amount, stated in Section 7 and each subsequent year
- I have not subscribed and will not subscribe to another Junior Stocks & Shares ISA of this type for this child
- I am not aware that this child has another Junior ISA of this type. I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit and I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.
- I hereby authorise Slater Investments Ltd.:

(i) to hold the child's subscription, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(ii) to make on behalf of the child, any claims to relief from tax in respect of Junior ISA investments

• I acknowledge that I have read and understood the Slater Investments Ltd. ISA Terms & Conditions and agree to be bound to these. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein.

• I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the funds.

Slater Investments Ltd., as a data controller, will handle your personal details in accordance with the Data Protection Act 1998. We may contact you from time to time with other products and services we offer, if you do **not** wish to receive such information, please tick here:

Full Name:

Signature:

Date: DD / MM / YYYY

9 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details - **Section 1, 2 and 3** (where applicable)
- Stated your fund choice(s) and how much you wish to invest - **Section 4**
- **Provided client identification documents - Section 6**
- Signed and dated the declaration - **Section 8**
- Completed and signed the mandate for regular savers and/or income payments - **Section 10** (if applicable)
- Enclosed a cheque made payable to: Slater Investments Ltd. (if applicable)

Up to date Key Investor Information Documents, Prospectuses and Manager's Reports & Accounts for any fund can be requested by the investor at any time.

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Advisor or contact **Lisa Letham** on **+44 (0) 207 2209365**

Your completed Application form, together with your cheque (if applicable) should be returned to your Professional Advisor or direct to: FNZ TA Services, Level 5, 67 Lombard Street, London, EC3V 9LJ

10 Investment By Direct Debit

Instruction to your Bank or Building Society to pay Direct Debit



Name and full postal address of your Bank or Building Society:

To the Manager	Bank or Building Society
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Address:
Postcode:

Name(s) of Account Holder(s)

Account Number:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Sort Code:	-	-
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Service User: Slater Investments Ltd. 3 Laurence Pountney Hill, London, EC4R 0EU
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Service User No.	<input type="text" value="1"/>	<input type="text" value="6"/>	<input type="text" value="8"/>	<input type="text" value="5"/>	<input type="text" value="5"/>	<input type="text" value="2"/>
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Reference Number (to be completed by SIL):

Instructions to your Bank or Building Society: Please pay Slater Investments Ltd. Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Slater Investments Ltd. and, if so, details will be passed electronically to my Bank or Building Society.

Please note that some Banks and Building Societies may not accept Direct Debit instructions from some types of accounts.

Any bank details given in this section will override any existing bank details we may hold for you.

Full Name:

Signature:

Date: DD / MM / YYYY

11 Direct Debit Guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all Bank and Building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Slater Investments Ltd. will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Slater Investments Ltd. to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in payment of your Direct Debit by Slater Investments Ltd. or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Slater Investments Ltd. asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.