



Slater Investments Limited

Anti-Money Laundering Statement

December 2018

Slater Investments Limited (the ‘Company’)

Anti-Money Laundering Statement

The Company is committed to the prevention of financial crime such as money laundering, the funding of terrorist activity, and bribery and corruption. Our aim is to not only comply with our regulatory and legal requirements but also to mitigate, reduce and protect both the Company and our clients from such crimes.

We set a minimum, mandatory, standard for Anti-Money Laundering (AML) and Counter-Terrorism Financing (CTF) based on and compliant with the requirements of the FCA and industry guidance from the Joint Money Laundering Steering Group which are reviewed and updated regularly.

We have a Money Laundering Reporting Officer who is responsible for oversight of the Company’s compliance with the FCA’s rules on anti-money laundering and financial crime systems and controls.

We perform risk based due diligence on all new customers, including verification of their identity and, where appropriate, an assessment of the source of their wealth and funds.

We comply with all suspicious activity reporting required of us by the regulators and law enforcement agencies.

We provide annual training to our staff on Anti Money Laundering, on our customer due diligence policies and procedures, including how to detect and report suspicious activity. Our training and awareness programme seeks to ensure that our staff can meet their responsibilities.