



Anti-Money Laundering

Verifying Your Identity



This booklet has been designed to help you understand why Slater Investments requests documents from you to verify your identity.

What is money laundering?

Money laundering is the process of turning money that is derived from criminal activities, dirty money, into money which appears to have been legitimately acquired and which can therefore be more easily invested and spent.

Why do I have to prove my identity?

Under the current regulations for the prevention of money laundering and financial crime, we have the responsibility to verify the identity of all our applicants, otherwise known as 'Customer Due Diligence'. We may also need to verify the identity of any controlling persons or beneficial owners.

Slater Investments uses a system of electronic identification; the search is performed using the services of Experian, a Credit Reference Agency. Please note that this is not a credit search and will only record the fact that we have requested an identification check on you. If we are unable to verify your identity electronically, we will ask you to provide documents to prove your name and address.

Why have other financial institutions not asked me to prove my identity?

The regulators require all financial institutions to have systems and controls in place to guard against money laundering and financial crime. Each financial institution will have its own procedures in place to ensure they comply with regulations

What do I need to provide?

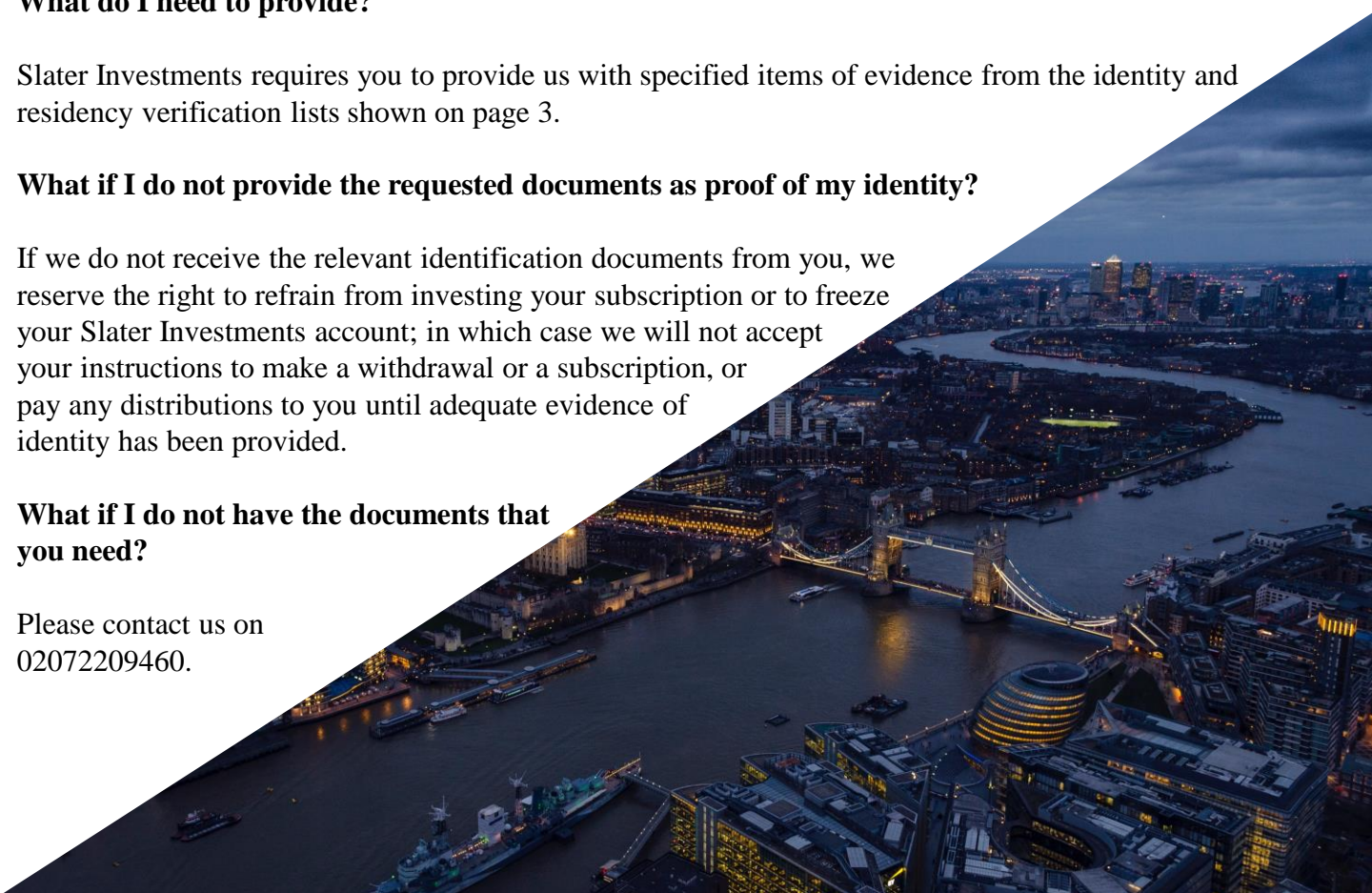
Slater Investments requires you to provide us with specified items of evidence from the identity and residency verification lists shown on page 3.

What if I do not provide the requested documents as proof of my identity?

If we do not receive the relevant identification documents from you, we reserve the right to refrain from investing your subscription or to freeze your Slater Investments account; in which case we will not accept your instructions to make a withdrawal or a subscription, or pay any distributions to you until adequate evidence of identity has been provided.

What if I do not have the documents that you need?

Please contact us on
02072209460.



Identity Verification

Primary Identity Documents

- A valid passport
- A valid photocard driving licence
- A current EEA member state national ID card
- A valid firearms certificate or shotgun licence
- An identity card issued by the Electoral Office for Northern Ireland

If you are unable to produce one of the above forms of primary identification, we are able to accept either **2 documents from List A below**, or **1 document from List A in conjunction with a document from List B**. All documentation from **List B** should be no older than 3 months.

Secondary Identity Documents

List A

- Valid old style driving licence (full)
- Current benefit book or original notification letter including state pension
- HMRC tax coding notification letter

List B

- Current utility bill (mobile phone bills not accepted)
- Current bank statement
- Current council tax bill

Whilst we can accept original documentation, we do not advise that customers send in original copies unless it is sent through registered post, We are unable to accept liability for any loss or damage upon return. Alternatively, a copy of the documents can be sent as long as it is signed by a certifier.

All copies must be certified by a bank official, accountant, solicitor, post office official or independent financial adviser. You should provide the certifier with both the original document and a photocopy and ask them to write or stamp on the copy: "I certify that this is a true copy of the original document". The certifier must also write or stamp on each document: the date, their name and title, their signature, their contact telephone number and their personal or business address.

If you are unable to get one of the aforementioned persons to certify the documents; a doctor, dentist, optician, police officer or teacher may certify the documents provided they have known you for a period of two years or more. This must be stated on the certified document. Please note, we cannot accept documents certified by retired professionals or documents certified by authorised signatories on the account in question.





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