



#### 4 Joint Holders

Please include the full name and address of each holder. All correspondence will be sent to the primary holder. Joint holders must have the same investment service as the primary holder.

##### Second Named Holder

Mr/Mrs/Ms/Miss/Other:
Surname:
Current permanent residential address:
Postcode:

Full first name(s):
Male <input type="checkbox"/> Female <input type="checkbox"/> Date of Birth: DD / MM / YYYY
If at current address for less than two years, please supply previous address:
Postcode:

##### Third Named Holder

Mr/Mrs/Ms/Miss/Other:
Surname:
Current permanent residential address:
Postcode:

Full first name(s):
Male <input type="checkbox"/> Female <input type="checkbox"/> Date of Birth: DD / MM / YYYY
If at current address for less than two years, please supply previous address:
Postcode:

#### 5 Beneficial Ownership (complete this section if the registered holder is NOT the beneficial owner)

Beneficial Holder/Name	Address	Date of Birth	Signature	Date

Applicants for direct investments are required to disclose circumstances where an individual or organisation other than the investor(s) named on the application form ultimately owns or controls the investment being made. You are therefore required to make the relevant disclosure on the application and where necessary provide the full name of any additional parties who have beneficial ownership of this investment. There are additional requirements for corporate bodies, partnerships, trusts, fund administrators/distributors and estates of deceased persons. Please contact us if you would like to discuss documentary requirements.

#### 6 Professional Adviser's Details (if applicable)

Adviser Name:
FCA Number:
Agent Code (if known):
Please tick here if advice has been given <input type="checkbox"/>
Please tick here if cancellation rights apply <input type="checkbox"/>

Agent Name and Address or Stamp
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#### 7 Client Identification

- In order to comply with Anti-Money Laundering Regulations, we are required to verify the identity and address of the applicant(s). We will do this by checking details against various databases via a credit reference agency. These checks will not have any impact on credit ratings. In the Event that we are unable to verify identity in this way, we may request additional documents.
- Overseas Investors should contact us **prior** to investing to discuss documentary requirements.

**8 Funding Your Investment** (tick all that apply)
 **Bank Transfer** 

All bank transfers must be paid directly to the Bank of Scotland account below, referenced with the name of the client.




 **Cheque(s)** 

Cheques must either be drawn on your account or a joint account. The cheque must be made payable to **Slater Investments Ltd.** For a Building Society cheque or banker's draft, your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature.

 **Monthly Direct Debit** 

In order to pay via Direct Debit, please ensure you have completed 'Regular Savings' in **Section 10** and **Section 13**.

**9 Nominated Bank Account**

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to:



**10 Investment Selection**

You may invest in one or more fund(s). The minimum subscription for each fund is detailed in the relevant Prospectus.

Fund Name	Lump Sum (£)	Regular Savings (£)
1)		
2)		
3)		
<b>Total:</b>	<b>£</b>	<b>£</b>

If you require **income to be paid out** to you, please tick here:  Income will be paid to the applicant's bank account detailed in Section 6. Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at no initial charge (where only income units/shares are available).

**11 Declaration**

- I declare that I am 18 years of age or over. I am a resident in the United Kingdom (UK) for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform Slater Investments Ltd. if I cease to be so resident or perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I am not a US Person (either resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s).
- I hereby authorise Slater Investments Ltd. to hold my cash subscription, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- I acknowledge that I have read and understood the Slater Investments Ltd.'s Terms & Conditions and agree to be bound to these. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform Slater Investments Ltd. immediately of any changes herein.
- I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the funds.

Slater Investments Ltd., as a data controller, will handle your personal details in accordance with the Data Protection Act 1998. By providing Slater Investments Ltd. with your information you are consenting to us processing and using your information as set out in this application form. We may contact you from time to time with other products and services we offer which we feel may be of interest to you and for other legitimate business interests. If you wish to receive such information, please tick here:




This form will be returned if it is not signed and dated.

## 12 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details - **Section 1 to 6**
- Stated your fund choice(s) and how much you wish to invest - **Section 10**
- Signed and dated the declaration - **Section 11**
- Completed and signed the mandate for regular savers and/or income payments - **Section 13** (if applicable)
- Enclosed a cheque made payable to: Slater Investments Ltd. (if applicable)

Up to date Key Investor Information Documents, Prospectuses and Manager's Reports & Accounts for any fund can be requested by the investor at any time.

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Advisor or contact **Lisa Letham** on **+44 (0) 207 2209365**

Your completed Application form, together with your cheque (if applicable) should be returned to your Professional Advisor or direct to: FNZ TA Services, Level 5, 67 Lombard Street, London, EC3V 9LJ

## 13 Investment By Direct Debit

### Instruction to your Bank or Building Society to pay Direct Debit



Name and full postal address of your Bank or Building Society:

To the Manager	Bank or Building Society
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Address:
Postcode:

Name(s) of Account Holder(s)
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Account Number:	<input type="text"/>
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Sort Code:	<input type="text"/>
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<b>Service User:</b> Slater Investments Ltd. 3 Laurence Pountney Hill, London, EC4R 0EU
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<b>Service User No.</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<b>Reference Number (to be completed by SIL):</b>
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<b>Instructions to your Bank or Building Society:</b> Please pay Slater Investments Ltd. Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Slater Investments Ltd. and, if so, details will be passed electronically to my Bank or Building Society.
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Please note that some Banks and Building Societies may not accept Direct Debit instructions from some types of accounts.

Any bank details given in this section will override any existing bank details we may hold for you.

<b>Full Name:</b>
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<b>Signature:</b>
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<b>Date:</b> DD / MM / YYYY
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## 14 Direct Debit Guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all Bank and Building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Slater Investments Ltd. will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Slater Investments Ltd. to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in payment of your Direct Debit by Slater Investments Ltd. or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Slater Investments Ltd. asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.