

ISA Transfer Form

(Stocks & Shares) 2018/2019 Tax Year



Please complete in **BLOCK CAPITALS** and return to: **Slater Investments, Nicholas House, 3 Laurence Pountney Hill, London, EC4R 0EU**

This Transfer Form is accurate as from **30/09/18**.

1 Personal Details (please complete this section in full)

Account Number (if known):

Mr/Mrs/Ms/Miss/Other:

Surname:

Full first name(s):

Telephone:

National Insurance Number: / / / /

If you **do not** have a NI Number, please tick here

Email:

I confirm that I am solely a UK resident for tax purposes and that I am not a US citizen:

Current address:

If at current address for less than two years, please supply previous address:

Name or Number of Property:

Address:

City:

Country:

Postcode:

Name or Number of Property:

Address:

City:

Country:

Postcode:

Please detail any residency or citizenship you hold in any other country than the UK:

2 Details of Accounts (please select one or more of the following)

Option 1: Transfer Existing Stocks & Shares ISA

I wish to transfer the whole of my current year's ISA subscription

I wish to transfer all my previous years' ISA subscriptions

I wish to transfer £_____ or _____% of my ISA holding for previous years.

Please indicate Slater Fund to be transferred:

I wish to transfer specific whole tax year(s) [e.g. 2018/19] _____

Option 2: Transfer Existing Cash ISA

I wish to transfer the whole of my current year's ISA subscription

I wish to transfer all my previous years' ISA subscriptions

I wish to transfer £_____ or _____% of my ISA holding for previous years.

Please indicate Slater Fund to be transferred:

I wish to transfer specific whole tax year(s) [e.g. 2018/19] _____

3 Nominated Bank Account

Complete this section to set up a new nominated bank account. We'll use the details below to pay any future income/withdrawals to:

Name of Account Holder:

Account Number:

Sort Code: -

4 Client Identification

In order to comply with Anti-Money Laundering Regulations, we are required to verify the identity and address of the applicant. Please could you send us one document from **each** of the below categories for the registered holder (Section 1)

Category A - Identity Document
Current Signed Passport or Certified Copy
Driving Licence* or Certified Copy
Birth Certificate

Category B - Address Document
Utility Bill
Bank/Building Society/Financial Services Statement
Government Issued Document
Driving Licence* or Certified Copy

* A driving licence cannot be used for both categories

NB - The address on all documents must match your listed address on this form

For more information regarding Client Identification, please refer to our Anti-Money-Laundering booklet that can be found in the Literature section of our website.

Whilst we can accept original documentation, we do not advise customers to send in original copies unless it is sent through registered post, we will then return in the same manner. We are unable to accept liability for any loss or damage upon return. Alternatively a copy of the documents can be sent as long as it is signed by a certifier. The certifier must write the date, full name, address and contact telephone number.

5 Investment Selection

You may invest in one or more fund(s). The minimum subscription for each fund is detailed in the relevant Key Investor Information Document (KIID). The total investment for the 2018/19 tax year must not exceed **£20,000** or **£1,666 per month**.

Fund Name	Lump Sum (£)	Regular Savings (£)
1)		
2)		
3)		
Total:	£	£

If you require **income to be paid out** to you, please tick here:

Income will be paid to the applicant's bank account detailed in

Section 3. Otherwise, income will either be accumulated (where accumulation units/shares are available) or automatically reinvested at no initial charge (where only income units/shares are available).

6 Transfer Instruction to Existing ISA Manager (please tick accordingly)

Cash Transfer (1)

Stock Transfer (2)

I hereby authorise you to transfer the ISA referred to in this section either by (1) liquidating the assets as detailed and transfer the proceeds with any additional cash held in my ISA to Slater Investments Ltd. or (2) transferring any stock held in my ISA to Slater Investments Ltd, providing that the stock is already a Slater Fund and currently held with a different ISA provider. Any dividends, tax credits, sale proceeds or other monies should be forwarded as soon as possible. In addition, I authorise you to supply Slater Investments Ltd. with full details of my ISA and understand the transfer process will commence from receipt of this application unless stated otherwise. Please forward a full history of the ISA(s) along with the cheque made payable to 'Slater Investments Ltd.' at your earliest convenience to the address at the top of this form.

Manager Name:
Manager Address:
Postcode:

Telephone Number:
Account Number:
Approximate Value: £
Signature:
Date: DD / MM / YYYY

NB - If you are transferring from more than one Plan/Account Manager, please request more Transfer Authority forms.

7 Declaration

- I declare that I am 18 years of age or over. I am a resident in the United Kingdom (UK) for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees overseas) are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform Slater Investments Ltd. if I cease to be so resident or perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I am not a US Person (either resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s).
- I apply to subscribe for a Stocks & Shares ISA for the tax year and amount stated in this document and for each subsequent year.
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I have subscribed to this Stocks & Shares ISA.
- I have not subscribed and will not subscribe more than the overall subscription limit in total Stocks & Shares ISA and a Cash ISA in the same tax year.

- All subscriptions made and to be made belong to me.
- I hereby authorise Slater Investments Ltd.:

(i) to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(ii) to make on my behalf any claims or relief from tax in respect of ISA investments.

• I acknowledge that I have read and understood the Slater Investments Ltd. ISA Terms & Conditions and agree to be bound to these. To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein.

• I have read and understood the relevant Key Investor Information Document (KIID) and Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the funds.

Slater Investments Limited, as a data controller, will handle all your personal details in accordance with General Data Protection Regulations. From time to time we would like to send you information on other products, services and events which we offer and which we may think are of interest to you. If you would like to receive such information please tick this box

Full Name:

Signature:

Date: DD / MM / YYYY

This form will be returned if it is not signed and dated.

8 Completed Form Checklist

To ensure that there will be as few issues as possible, if any, in processing your form, please make sure you have:

- Provided full registration details and client identification documents - **Sections 1 and 4**
- Stated your fund choice(s) and how much you wish to invest - **Section 5**
- Stated the transfer method - **Section 6**
- Signed and dated the declaration - **Section 7**
- Completed and signed the mandate for regular savers and/or income payments - **Section 9** (if applicable)
- Enclosed a cheque made payable to: Slater Investments Ltd. (if applicable)

Up to date Key Investor Information Documents, Prospectuses and Manager's Reports & Accounts for any fund can be requested by the investor at any time.

If you have any queries or need further assistance with the completion of this application form, please contact your Professional Advisor or contact **Lisa Letham** on **+44 (0) 207 2209365**

Your completed Application form, together with your cheque (if applicable) should be returned to your Professional Advisor or direct to: Slater Investments, Nicholas House, 3 Laurence Pountney Hill, London, EC4R 0EU

9 Investment By Direct Debit

Instruction to your Bank or Building Society to pay Direct Debit



Name and full postal address of your Bank or Building Society:

To the Manager Bank or Building Society

Address:

Postcode:

Name(s) of Account Holder(s)

Account Number:

Sort Code:

Service User: Slater Investments Ltd.
3 Laurence Pountney Hill, London, EC4R 0EU

Service User No.

Reference Number (to be completed by SIL):

Instructions to your Bank or Building Society:
Please pay Slater Investments Ltd. Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Slater Investments Ltd. and, if so, details will be passed electronically to my Bank or Building Society.

Please note that some Banks and Building Societies may not accept Direct Debit instructions from some types of accounts.

Any bank details given in this section will override any existing bank details we may hold for you.

Full Name:

Signature:

Date: DD / MM / YYYY



10 Direct Debit Guarantee

This guarantee should be detached and retained by the payer.



- This guarantee is offered by all Bank and Building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Slater Investments Ltd. will notify you 10 working days in advance of your account being debited, or as otherwise agreed. If you request Slater Investments Ltd. to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in payment of your Direct Debit by Slater Investments Ltd. or your Bank/Building Society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Slater Investments Ltd. asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.